Course Code	Course Name	Credits
26CM016	CAPITAL MARKET AND FINANCIAL INSTITUTIONS	04

Course Objectives

- To understand the structure and functioning of capital markets.
- To analyze the role of financial institutions in economic development.
- To gain knowledge of financial instruments and market participants.
- To study regulatory frameworks governing financial markets in India.
- To develop insights into investment and risk management practices

Learning Outcomes

Upon successful completion of this course, it is intended that a student will be able to:

- Understand the concepts and structure of capital markets.
- Identify various financial institutions and their roles.
- Analyze financial instruments and investment avenues.
- Understand regulatory mechanisms like SEBI and RBI.
- Evaluate market trends and investment decision

Unit 1 - Introduction to Capital Market **(12 Hrs.)**

Meaning and importance of capital market - Structure of financial system in India - Components: Primary market and Secondary market - Functions of capital market - Participants: Investors, issuers, intermediaries

Unit 2 – Financial Instruments **(12 Hrs.)**

Equity shares: Meaning – Scope - Types and features - Preference shares: Meaning – Scope - Types and features - Debentures and bonds: Meaning – Scope - Types and features – Importance - Mutual funds -Derivatives: Futures and Options (Basics).

Unit 3 - Financial Institutions in India **(12 Hrs.)**

Banking institutions: Commercial banks, Cooperative banks - Non-Banking Financial Companies (NBFCs) - Development Financial Institutions (DFIs)- Role of institutions like Reserve Bank of India, Securities and Exchange Board of India - Insurance and pension institutions.

Unit 4 – Stock Market Operations: Stock exchanges **(12 Hrs.)**

Meaning and functions - Listing of securities - Trading procedures - Role of stock brokers and intermediaries - IPO process and book building - Major stock exchanges: Bombay Stock Exchange, National Stock Exchange.

Unit 5 - Regulation and Recent Developments **(12 Hrs.)**

Regulatory framework of capital market - Role of SEBI in investor protection - Insider trading and market manipulation - Reforms in Indian capital market - Digital transformation in financial markets (online trading, fintech).

Reference Books:

1. V.A. Avadhani (2022) – Investment and Securities Markets in India.
2. Foster Provost & Tom Fawcett (2013) - Data Science for Business"
3. Machine Learning for Absolute Beginners (2017) – Oliver Theobald.

Websites and eLearning Sources:

1. https://www.youtube.com/watch?v=tZ08mu6pvKk
2. https://youtu.be/J_ZGsBTLGO4
3. https://www.youtube.com/watch?v=6lIV0KuDrh0
4. https://oyc.yale.edu/economics/econ-252-11/lecture-4
5. https://www.youtube.com/watch?v=0B1mCAZeZfQ
6. https://www.youtube.com/watch?v=0B1mCAZeZfQ
7. https://www.youtube.com/watch?v=0B1mCAZeZfQ

COs and Bloom's Taxonomy Mapping – 26CM016		
Course Outcomes	**On successful completion of this course, students will be able to**	**BTL**
CO1	Understand the concepts and structure of capital markets.	K2, K4
CO2	Identify various financial institutions and their roles.	K2, K5
CO3	Analyze financial instruments and investment avenues.	K3,K4
CO4	Understand regulatory mechanisms like SEBI and RBI.	K2,K4
CO5	Evaluate market trends and investment decision	K4, K5

BTL (Bloom's Taxonomy Level) - K1 – Remembering, K2 – Understanding, K3- Applying, K4 – Analyse, K5- Evaluate and K6 - Create

Relationship Matrix – 26CM016													
Course Outcomes	**Programme Outcomes (POs)**						**Programme Specific Outcomes (PSOs)**						**Mean Score of Cos**
	PO1	**PO2**	**PO3**	**PO4**	**PO5**	**PO6**	**PSO1**	**PSO2**	**PSO3**	**PSO4**	**PSO5**	**PSO6**	
CO1	2	2	1	3	2	1	2	3	3	2	1	2	2.00
CO2	3	2	1	2	2	1	2	3	1	2	2	2	1.92
CO3	3	3	1	3	3	2	3	3	2	3	2	1	2.42
CO4	2	2	2	3	2	2	2	2	1	2	2	1	1.92
CO5	3	3	1	3	3	2	3	3	2	3	2	2	2.50
												Total	2.15

Mean Score: 3- High, 2- Medium/Moderate, 1-Low

FULLY HUMAN
Fully
Alive